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FAX TRANSMISSION COVER SHEET

Date: January 3, 2006
To: Amendment
United States Patent & Trademark Office
Fax: (571) 273-8300
Phone:
From: Kevin W. Stinger
Re: Serial No. 10/810,041
Filing Date: March 26, 2004
Docket No: 15436.447.1

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YOU SHOULD RECEIVE 24 PAGE(S), INCLUDING THIS COVER SHEET.
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Attached:

Transmittal of Information Disclosure Statement (2 pgs);
Information Disclosure Statement (2 pgs, with attached Exhibit A)
PTO-1449 Information Disclosure Citations Made by Applicant (3 pgs)
Certificate of Transmission by Facsimile (1 pg)

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| | | | |
|---|---------------------------|---------------------|----------------------------------|
| CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8) | | | Docket No. 15436.447.1 |
| Applicant(s): Donald A. Ice | | | |
| Application No. 10/810,041 | Filing Date 03/26/2004 | Examiner Unknown | Group Art Unit 2833 |
| Invention: METHODS FOR MANUFACTURING LEAD FRAME CONNECTORS FOR OPTICAL TRANSCEIVER MODULES | | | |
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| on <u>January 3, 2006</u> (Date) | | | |
| <u>Beth L. Haslam</u> (Typed or Printed Name of Person Signing Certificate) <u>Beth L. Haslam</u> (Signature) | | | |
| Note: Each paper must have its own certificate of mailing. | | | |
| Attached: Transmittal of Information Disclosure Statement (2 pgs); Information Disclosure Statement (2 pgs, with attached Exhibit A) PTO-1449 Information Disclosure Citations Made by Applicant (3 pgs) | | | |

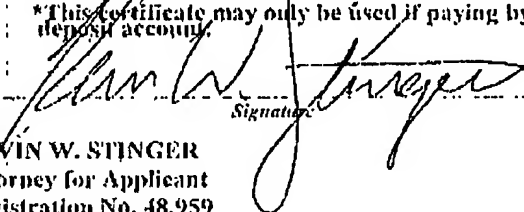
JAN 03 2006

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| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | | Docket No. 15436.447.1 | |
|---|-------------|----------|--------------|---------------------------|------------------|
| In Re Application Of: Donald A. Ice | | | | | |
| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
| 10/810,041 | 03/26/2004 | Unknown | 022913 | 2833 | 8455 |
| Title: METHODS FOR MANUFACTURING LEAD FRAME CONNECTORS FOR OPTICAL TRANSCEIVER MODULES | | | | | |
| Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | | | | |
| 37 CFR 1.97(b) | | | | | |
| 1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. | | | | | |
| 37 CFR 1.97(c) | | | | | |
| 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | | | |
| <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); | | | | | |
| OR | | | | | |
| <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). | | | | | |

P10A/R1W06

JAN 03 2006

| | | | | | | |
|--|-------------|----------|--------------|----------------|---------------------------|--|
| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | | | Docket No. 15436.447.1 | |
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| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. | |
| 10/810,041 | 03/26/2004 | Unknown | 022913 | 2833 | 8455 | |
| Title: METHODS FOR MANUFACTURING LEAD FRAME CONNECTORS FOR OPTICAL TRANSCEIVER MODULES | | | | | | |
| Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) | | | | | | |
| <input type="checkbox"/> A check in the amount of _____ is attached. | | | | | | |
| <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>23-3178</u> as described below. | | | | | | |
| <input type="checkbox"/> Charge the amount of _____ | | | | | | |
| <input type="checkbox"/> Credit any overpayment. | | | | | | |
| <input checked="" type="checkbox"/> Charge any additional fee required. | | | | | | |
| <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. | | | | | | |
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| Certificate of Mailing by First Class Mail | | | | | | |
| <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on</p> <p>_____ (Date)</p> <p>_____ Signature of Person Mailing Correspondence</p> <p>_____ Typed or Printed Name of Person Mailing Certificate</p> | | | | | | |
| <p>*This certificate may only be used if paying by deposit account.</p> <p> Signature</p> <p>KEVIN W. STINGER Attorney for Applicant Registration No. 48,959</p> <p style="text-align: right;">Dated: <u>Jan. 3, 2006</u></p> | | | | | | |
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Sheet 1 of 3

Form PTO-1449

Applicant: Donald A. Ice
Serial No.: 10/810,041
Filing Date: March 26, 2004
For: METHODS FOR MANUFACTURING LEAD FRAME CONNECTORS
FOR OPTICAL TRANSCEIVER MODULES

JAN 03 2006
Att'y Docket No.: 15436.447.1
Group: 2833

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

Related U.S. Patent Applications

| Inventor | Application Number | Filing Date |
|---------------|--------------------|-------------|
| Donald A. Ice | 10/810,040 | 03/26/2004 |
| Donald A. Ice | 10/809,992 | 03/26/2004 |

U.S. Patent Documents

| Examiner Initial* | Document Number | Issue/Pub Date | Name |
|----------------------|--------------------|-------------------|---------------------|
| _____ 1 | 2003/0026081 | 02/06/2003 | Liu, et al. |
| _____ 2 | 4,689,023 | 08/25/1987 | Strong, III, et al. |
| _____ 3 | 4,471,158 | 09/11/1984 | Roberts |
| _____ 4 | 5,295,214 | 03/15/1994 | Card, et al. |
| _____ 5 | 5,632,630 | 05/27/1997 | Card, et al. |
| _____ 6 | 6,086,413 | 07/11/2000 | Karasik, et al. |
| _____ 7 | 6,488,534 | 12/03/2002 | Soga, et al. |
| _____ 8 | 6,527,571 | 03/04/2003 | Muta, et al. |
| _____ 9 | 6,652,294 | 11/25/2003 | Zhang |
| _____ 10 | 6,668,897 | 02/10/2004 | Korsunsky, et al. |
| _____ 11 | 6,764,336 | 07/20/2004 | Ma, et al. |
| _____ 12 | 6,764,338 | 07/20/2004 | Fang |

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form PTO-1449

Sheet 2 of 3

Applicant: Donald A. Ice
Serial No.: 10/810,041
Filing Date: March 26, 2004
For: METHODS FOR MANUFACTURING LEAD FRAME CONNECTORS
FOR OPTICAL TRANSCEIVER MODULES

Att'y Docket No.: 15436.447.1
Group: 2833

| | | | |
|----|-----------|------------|----------------|
| 13 | 6,796,852 | 09/28/2004 | Okamoto |
| 14 | 6,817,782 | 11/16/2004 | Togami, et al. |
| 15 | 6,922,231 | 07/26/2005 | Wang, et al. |

Foreign Patent Documents

| Examiner Initial* | Document Number | Publication Date | Country or Patent Office |
|----------------------|--------------------|---------------------|-----------------------------|
| NONE | | | |

Other Documents

(including author, title, pertinent pages, etc.)

Examiner
Initial*

NONE

Examiner: _____ Date Considered: _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form PTO-1449

Sheet 3 of 3

Applicant: Donald A. Ice
Serial No.: 10/810,041
Filing Date: March 26, 2004
For:

Att'y Docket No.: 15436.447.1

Group: 2833

METHODS FOR MANUFACTURING LEAD FRAME CONNECTORS
FOR OPTICAL TRANSCEIVER MODULES

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initiated by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Docket No: 15436.447.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|--------------------------------|---|----------|
| In re application of |) | |
| |) | |
| Donald A. Ice |) | |
| |) | |
| Serial No.: |) | Art Unit |
| 10/810,041 |) | 2833 |
| |) | |
| Confirmation No.: |) | |
| 8455 |) | |
| |) | |
| Filed: |) | |
| March 26, 2004 |) | |
| |) | |
| For: |) | |
| METHODS FOR MANUFACTURING LEAD |) | |
| FRAME CONNECTORS FOR OPTICAL |) | |
| TRANSCIVER MODULES |) | |
| |) | |
| Examiner: |) | |
| Unknown |) | |

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

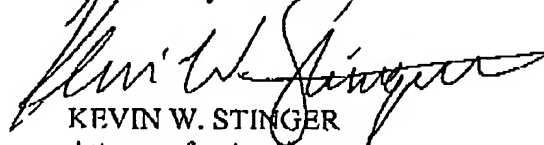
The accompanying Form PTO-1449 notifies the Examiner of the specified related pending U.S. patent applications listed therein. This notice is not an admission that the claims of the present application and the claims of the specified related pending patent applications are substantially similar or that the specified related applications represent prior art with respect to the present application.

Also attached, as Exhibit "A" for the Examiner's convenience, are copies of the most recent Office Actions in both of the related pending applications listed in the PTO-1449.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

DATED this 3rd day of January, 2006.

Respectfully submitted,



KEVIN W. STINGER
Attorney for Applicant
Registration No. 48,959
Customer No. 022913

KWS: bllj
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